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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No:  
L01-06CIP

In Re Application Of: **McGrogan & Snable**

Serial No.  
09/494,088

Filing Date  
January 28, 2000

Examiner  
Jennifer Lee

Group Art Unit  
1643

Title:  
**Dopaminergic Cells**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 500422 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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- ☒ Charge any additional fee required.

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*Barbara J. Tuttle*  
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Signature

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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DCKET NO.

L01-06C

SERIAL NO.

09/494,088

APPLICANT(S)

McGrogan & Snable

FILING DATE

1/28/2000

GROUP

1643

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,981,165	11/9/1999	Weiss et al.	435	4	6/7/95
	5,175,103	12/29/1992	Lee et al.	435	172.3	
	5,411,883	5/2/1995	Boss et al.	435	240.2	
	5,750,376	5/12/1998	Weiss et al.	435	69.52	

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Ling et al. Exp. Neurol. vol. 149:411-423, 1998. "Differentiation of Mesencephalic Progenitor Cells..."
		Zigova and Sanberg. Science & Medicine, September/October 1999, pp. 18-27. "Neural Stem Cells for Brain Repair."

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Locket Number (Optional)

L01-06C

Application Number

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Applicant(s)

McGrogan & Snable

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\*EXAMINER  
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Sentman et al., Cell vol. 67(5):879-88, Nov. 29, 1991. "bcl-2 Inhibits Multiple Forms of Apoptosis..." (Medline abstract)

Lindvall. Nature Biotechnology Vol. 17:635-636, July 1999. "Engineering Neurons for Parkinson's Disease."

Wagner et al. Nature Biotechnology Vol. 17:653-659, July 1999. "Induction of a Midbrain Dopaminergic Phenotype in Nurr1-overexpressing Neural Stem Cells by Type 1 Astrocytes."

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Adams et al. Biochem. Res. Vol. 21 (5):619-627, 1996. "Characterization and Transplantation of Two Neuronal Cell Lines with Dopaminergic Properties."

Pekosz et al. J. Virology. Vol. 70(8):5329-5335, Aug. 1996. "Induction of Apoptosis by La Crosse Virus Infection and Role of Neuronal Differentiation and Human bcl-2 Expression in Its Prevention."

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